

JFW

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(e))

Docket No.
17780

In Re Application Of: Shin-ichirou Ono, et al.

JUL 31 2006

PATENT & TRADEMARK OFFICE
U.S. GOVERNMENT

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/849,285	May 19, 2004	Richard A. Hjerpe	23389	2629	6640

Title:

**BACKLIGHT UNITS WITH QUICK INSTALLATION AND REMOVAL OF LIGHT
EMITTING STRUCTURES**

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

- The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
 - the statement specified in 37 CFR 1.97(e);
 - OR
 - the fee set forth in 37 CFR 1.17(p).

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EMITTING STRUCTURES**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of _____ is attached.
- The Director is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below.
- Charge the amount of _____
 Credit any overpayment.
 Charge any additional fee required.
- Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fa)

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Signature

Paul J. Esatto, Jr.
Typed or Printed Name of Person Signing Certificate

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Signature

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July 28, 2006

(Date)

Paul J. Esatto, Jr.

Signature of Person Mailing Correspondence

Paul J. Esatto, Jr.

Typed or Printed Name of Person Mailing Certificate

Dated: July 28, 2006

Paul J. Esatto, Jr.
Registration No. 30,749
Scully, Scott, Murphy & Presser, P.C.
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Garden City, New York 11530
516-742-4343

cc:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Shin-ichirou Ono, et al.

Examiner: Richard A. Hjerpe

Serial No.: 10/849,285

Art Unit: 2629

Filed: May 19, 2004

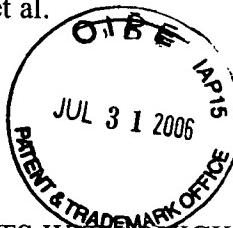
Docket: 17780

For: BACKLIGHT UNITS WITH QUICK
INSTALLATION AND REMOVAL OF
LIGHT EMITTING STRUCTURES

Dated: July 28, 2006

Confirmation No.: 6640

Mail Stop Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450



INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Japanese Unexamined Patent Application No. 2001-117075, dated April 27, 2001; and
2. Korean Unexamined Patent Application No. 2001-56971, dated July 4, 2001.

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: July 28, 2006

Paul J. Esatto, Jr.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /MC/

These references were cited in an Official Action dated April 28, 2006, received from the Korean Intellectual Property Office (KIPO). A copy of the Korean Official Action is enclosed together with a Japanese translation thereof. Applicants are submitting a copy of the above-cited references, together with an English translation of the Examiner's comments regarding the references. The relevance of the references is described in the Official Action.

In compliance with the requirements of 37 C.F.R. § 1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 35 U.S.C. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an Official Action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /MC/

The undersigned attorney hereby states that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,



Paul J. Esatto, Jr.
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PJE:dg

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

17780

Application Number

10/849,285

Applicant(s)

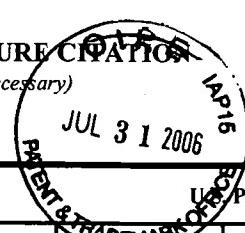
Shin-ichirou Ono, et al.

Filing Date

May 19, 2004

Group Art Unit

2629



U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	2001-117075	4/27/01	Japan				✓
	2001-56971	7/4/01	Korea				✓

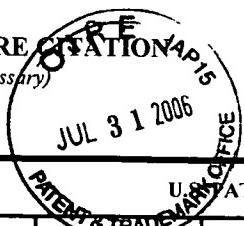
OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE
(Use several sheets if necessary)



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*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		0 985 949 A2	3/15/00	EPO			✓	
		2000-338483	12/8/00	Japan				✓

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	/Michael Caley/	DATE CONSIDERED	02/07/2008
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